

Case No.: 56964US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

SIMMONS, RICHARD

Application No.:

09/927663

Group Art Unit:

2633

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Filed:

August 10, 200

Examiner:

Unassigned

JAN 1 7 2003

Title:

OPTICAL MANIFOLD

Technology Center 2600

Information Disclosure Statement

Commissioner for Patents Washington, DC 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

January 9, 2003

Signed by: Tom Sander

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Yen Tong Florczak, Reg. No.: 45,163

Telephone No.: 512-984-4669

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Office of Intellectual Property Counsel 3M Innovative Properties Company

Facsimile No.: 651-736-3833

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PATENT TRADEMARK OFFICE

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Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to

Deposit Account No. 13-3723.

Respectfully submitted,

09 January 2003

Date

Yen Tong Florczak, Reg. No.: 45,163

Telephone No.: 512-984-4669

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833